

# CONSTITUTION OF THE INSTITUTE FOR BEHAVIORAL GENETICS (IBG)

## I. MISSION

The mission of the Institute for Behavioral Genetics (IBG), established in 1967 by the University of Colorado Board of Regents, is to conduct and facilitate research on the genetic bases of individual differences in behavior and to conduct research training in this interdisciplinary area.

## II. ORGANIZATION

IBG, as a unit of the University of Colorado at Boulder (UCB), is subject to the Rules of the Regents, the policies of the University, and the Institute's By-Laws. The Director of IBG is its principal executive officer and is responsible for its overall leadership. A Directorate, composed of the Director and all IBG Faculty Fellows, is responsible for planning and performing the research and educational programs of IBG and ensuring stability of these programs. IBG, through its Director, reports to the Associate Vice Chancellor for Research (hereafter referred to as the Associate Vice Chancellor).

## III. BY-LAWS

Internal regulations and more detailed operational procedures for IBG are specified in the By-Laws adopted by the Directorate and approved by the Associate Vice Chancellor. Changes to the By-Laws require written notice of motion to be submitted and circulated to all Faculty Fellows at least two weeks prior to the meeting of the Directorate at which they are to be considered. An absentee ballot will be provided for any member who is unable to attend such a meeting. Changes to the By-Laws require approval by a two-thirds majority of the Directorate in a written, secret ballot, and will not take effect until approved by the Associate Vice Chancellor. If the Associate Vice Chancellor does not approve the recommended changes in the By-Laws, the Directorate may appeal directly to the Vice Chancellor for Academic Affairs.

The procedures for appointing IBG Faculty Fellows, the Director, and other IBG personnel, are detailed in the By-Laws. Participation in IBG is open to University faculty and other scientists based upon their credentials and on the formal criteria specified in the By-Laws.

## BY-LAWS OF THE INSTITUTE FOR BEHAVIORAL GENETICS (IBG)

### I. ADMINISTRATIVE STRUCTURE

#### A. Directorate

The Institute is organized in the form of a Directorate composed of the Director and the IBG Faculty Fellows. The Directorate is responsible for designing, initiating, and carrying out the research and educational programs of the Institute, for ensuring continuity in the nature of the scientific tasks and in the resources for conducting them, and for coordinating the work with relevant University of Colorado units and external organizations.

1. The Director is the principal executive officer and appointing authority, responsible for the overall leadership of the Institute within the Rules of the Regents, the policies of the University, and the By-Laws of the Institute. The Director reports to the Associate Vice Chancellor for Research (hereafter referred to as the Associate Vice Chancellor) on matters pertaining to the Institute's administrative, management, research, and teaching programs. The Director shall be rostered in the Institute and shall also be a member of an academic unit in which he or she has a professorial appointment. The Director is the official spokesperson and representative of the Institute and may appoint an Acting Director during periods of absence.
2. IBG Faculty Fellows are appointed by the procedures outlined in Section II of the By-Laws. A Faculty Fellow's position (full-time-equivalent: FTE) may be rostered, either wholly or in part, in IBG or in a cognate academic unit.

#### B. Other IBG Personnel

##### 1. Research Professors

IBG Research Professors are appointed by the procedures outlined in Section II of the By-Laws.

##### 2. Research Associates

Research Associates are appointed by the appropriate Principal Investigator or Faculty Fellow sponsor with the concurrence of the Director.

##### 3. Staff

The IBG staff comprises:

- a. State-funded support positions in the State Personnel System and professional exempt class.
- b. Grant- or contract-funded positions of an administrative, support, or research nature in either the State Personnel System or the Special Faculty (e.g. Professional Research Assistant) category.

4. Graduate Research Assistants

Research Assistants are appointed by the appropriate Principal Investigator with the concurrence of the Director.

5. Graduate Students (not Graduate Research Assistants)

Graduate Students who are not Research Assistants, but who are under the direction of the IBG faculty, may also affiliate with IBG upon the recommendation of the faculty sponsor and with the concurrence of the Director.

C. Visiting Professors and Visiting Fellows

Visitors to the Institute may, upon the recommendation of the **Directorate**, be accorded the Title “Visiting Fellow” or designated “Visiting ---” (academic title indicating the visitor’s rank at his or her home institution) as specified in Part II, Section 3, of the University of Colorado Faculty Handbook.

## II. APPOINTMENTS

A. Directorate

1. Faculty Fellows

At least once a year the Directorate will meet to discuss applications for membership in the Institute. Membership as a Faculty Fellow is awarded for a term consonant with the need of the Institute and the need of the candidate, but shall in no case be longer than for a five-year term. Members may be considered for additional terms so long as their needs and interests and those of the Institute remain consonant. Accommodations will have to be made between those academic units involved and IBG when a faculty FTE is rostered either wholly or in part in the Institute. It is understood that membership is limited to the number of people that the resources of the Institute can help support. Recommendations for membership are forwarded to the Office of the Associate Vice Chancellor for final approval.

IBG Faculty Fellows conduct research in IBG and may be salaried (either wholly or in part) through IBG or through another academic unit. The academic units involved are encouraged to facilitate released-time arrangements for IBG Faculty Fellows.

The procedures for appointment, reappointment, non-reappointment, termination, and dismissal of faculty at UCB are defined in the University or Colorado Faculty Handbook, Part IV, and the UCB Affirmative Action Plan.

2. Director

a. Selection and Appointment of a Director

When the selection of a Director is to be made, the IBG Faculty Fellows will establish or constitute themselves as a search committee which will work according to UCB affirmative action procedures. The Fellows shall submit the name of their nominee to the Associate Vice Chancellor, who shall make the final determination.

As the Directorship carries an FTE position with it, accommodations will have to be made between the academic units involved when a new Director replaces a Director from a different unit.

The Director is appointed by the Associate Vice Chancellor for a four-year term, subject to approval by the Board of Regents. The Director is eligible for reappointment following the procedures outlined above (Section II, A, 2, a). The Director shall have a professorial appointment in an academic department and shall hold research credentials relevant to the Institute's mission.

b. An Acting Director may be appointed by the Director from among the IBG Faculty Fellows during periods of absence.

c. In the event of incapacity, death, or resignation of the Director, the IBG Faculty Fellows will recommend to the Associate Vice Chancellor the appointment of an Interim Director, pending the appointment of a new Director. Procedures for the selection and appointment of a new Director must be started expeditiously.

B. Other IBG Personnel

1. Research Professors

Criteria and procedures for the appointment of Research Professors are the same as those employed for appointment of IBG Faculty Fellows. This policy is subordinate to that described in the document, "Faculty Research Titles: Boulder Campus Implementation Policy."

2. Research Associates

Appointment of Research Associates (subject to the concurrence of the Director) are at the discretion of the Principal Investigator or Faculty Fellow sponsor, who

may stipulate the conditions of the award appropriate to a particular grant or contract.

3. Staff

Staff appointments are made in accordance with the appropriate State and University regulations, contingent upon availability of funds.

4. Graduate Research Assistants

Appointment and reappointment to Graduate Research Assistantships (subject to the concurrence of the Director) are at the discretion of individual Principal Investigators, who may stipulate conditions for the award appropriate to a particular grant or contract. Research support may not be offered prior to acceptance of the student by an academic unit of the University.

5. Graduate Students (not Graduate Research Assistants)

Graduate Students affiliated with IBG may hold Fellowships, Traineeships, Teaching Assistantships, or Teaching Associate positions. Their appointment to such positions is the responsibility of the relevant awarding body.

### III. ANNUAL EVALUATION

A. Directorate

1. Director

Evaluation of the Director will be made by the Associate Vice Chancellor, who, as part of the evaluation process, shall consult with the academic unit in which the Director has a professorial appointment.

2. Faculty Fellows and Research Professors

No later than March 15th of each year, each Faculty Fellow and Research Professor shall provide the Director with a copy of Form UC-DF-5 (Report of Scholarly, Professional, and University Activities). Evaluations by the Director based upon this information may be provided to the heads of the cognate academic units for their consideration concerning tenure, promotion, or salary adjustment decisions; and will be provided to the Associate Vice Chancellor when the Fellow is partly or wholly rostered in IBG.

B. Other IBG Personnel

1. Research Associates

Research Associates are evaluated annually in writing by the Principal Investigator of the grant or contract on which they are employed. The annual evaluation forms part of the Research Associate's personnel file. The renewal of such appointments is conditional upon satisfactory performance and contingent upon availability of funds. In the event that the Research Associate is Principal Investigator, the Faculty Fellow sponsor is responsible for this evaluation.

2. Staff

Staff are evaluated annually in writing by their supervisors. Procedures for evaluation and requirements for notifying staff of the results will follow the most current rules appropriate for their status (professional exempt, classified, professional research assistant).

3. Graduate Research Assistants

Graduate Research Assistants are evaluated by the Principal Investigator of the grant or contract on which they are employed. The renewal of such assistantships is conditional upon satisfactory performance and contingent upon the availability of funds.

4. Graduate Students (not Graduate Research Assistants)

Graduate Students who are not employed as research assistants are evaluated according to the procedures of the academic unit in which they are registered for an advanced degree.

#### IV. PROMOTION AND TENURE

Rules for tenure of faculty members with an FTE in IBG and promotion of Research Professors, Research Associates, and Professional Research Assistants are detailed in the attached document, "Promotion and Tenure of Institute for Behavioral Genetics (IBG) Personnel."

#### V. RESEARCH ORGANIZATION

New proposals for research by Faculty Fellows and Research Associates which would use IBG resources are submitted to the Director for initial approval in abstract and outline form, including budget information, prior to their submission to the Office of Contracts and Grants. Particular attention will be paid to their relevance to the Institute's mission. Budgets are to be developed in consultation with the Institute's Administrative Officer so as to ensure essential support for Institute facilities, staff positions, and Graduate Students according to any guidelines that may be enacted by the Directorate. The Director is administratively responsible for expenditures on research grants and contracts administered through IBG. The Principal Investigator (PI) on a grant or contract is directly responsible for all expenditures made from grant monies awarded to the Regents in the name of the PI.

Fellows of IBG discharge their special responsibility for graduate education by supervising and guiding Graduate Student research, independently or in group projects, and by teaching formal courses in their respective academic units. As an important teaching responsibility, Faculty Fellows provide a series of courses tailored to the general mission of the Institute. These courses, and other aspects of the IBG training program, are outlined in the IBG brochure, Institute for Behavioral Genetics.

IBG Faculty Fellows contribute to the formal graduate and undergraduate programs of their academic units by teaching courses and serving on thesis, dissertation, and other departmental committees.

All courses taught by IBG Faculty Fellows are evaluated by the standard procedures of the academic units in which they are offered.

## VII. FINANCES

The Director is administratively responsible to the Associate Vice Chancellor for all expenditures within IBG accounts.

General fund budgets and allocations are prepared by the Director.

## VIII. MEETINGS OF THE DIRECTORATE

Meetings of the Directorate are chaired by the Director or his/her designee and will usually be held monthly during the academic year or more frequently as required.

A quorum for a meeting of the Directorate consists of one-third of the Faculty Fellows in residence. Items moved and seconded for consideration in any meeting are voted on and will carry by a simple majority vote of the members of the Directorate present, except as specified below:

### A. Changes to the By-Laws

Changes to the By-Laws require written notice of motion to be submitted and circulated to all Faculty Fellows at least two weeks prior to the meeting of the Directorate at which they are to be considered. An absentee ballot will be provided for any member who is unable to attend such a meeting.

Changes to the By-Laws require approval by a two-thirds majority of the Directorate in a written, secret ballot and will not take effect until approved by the Associate Vice Chancellor. If the Associate Vice Chancellor does not approve the recommended changes in the By-Laws, the Directorate may appeal directly to the Vice Chancellor for Academic Affairs.

B. Any Item for Which the Vote of the Quorum Could Be Nullified by a Vote of the Full Directorate

For any item in which a vote by the quorum could be nullified by a vote of the full Directorate, a mail ballot may be requested by any member present.

All votes referring to personnel matters must be by written ballot. On other matters, a written ballot will be held at the request of any member.

IX. COMMITTEES OF THE DIRECTORATE

Members of the two IBG standing committees are appointed by the Director for three-year staggered terms. The chairperson of each committee is designated by the Director.

- A. The IBG Research Program Committee is responsible for advising the Directorate regarding space and facilities allocations, requirements, utilization, and acquisition; fostering collaborative research; and other duties as may be assigned from time to time by the Director.
- B. The IBG Training Program Committee is responsible for advising the Directorate regarding changes in training program requirements, selection of trainees, monitoring the progress of IBG trainees, and other duties as may be assigned from time to time by the Director.



CONSTITUTION AND BY-LAWS OF THE INSTITUTE FOR BEHAVIORAL GENETICS (IBG) approved this 21st day of October, 1988, by the following members of the IBG Directorate:

Michael Breed

Gregory Carey

Allan C. Collins

Lawson Crowe

John C. DeFries

Richard A. Deitrich

V. Gene Erwin

David W. Fulker

Thomas E. Johnson

Richard K. Olson

Dennis R. Petersen

Bruce Pennington

Kurt Schlesinger

Andrew Smolen

Toni N. Smolen

Jonathan Van Blerkom

Steven G. Vandenberg

Jeanne M. Wehner

James R. Wilson