# **Psych 3102 Introduction to Behavior Genetics** Lecture 22

# Childhood psychopathologies (Developmental psychopathology)



Prevalence of DSM-IV developmental disorders

- pervasive developmental disorders eg autistic disorder
- attention-deficit & disruptive behavior disorders eg ADHD, conduct disorder
- anxiety disorders
- mood disorders

Population sample, children 8-15 years nationwide, unselected
 12% met 12-month criteria for at least one disorder
 14% of those children met criteria for 2 or more disorders
 only 50% had sort treatment
 Merikangas et al, 2010

Median age of onset = 11 for anxiety, impulse-control disorders = 30 for depression

50% of all lifetime cases start by age 14

# **Autistic disorder**

DSMIV

- mental disorder diagnosed within the first 3 years of life
- defined as a severe neurodevelopmental disorder characterised by ALL of following:
  - 1. gross impairment in social interaction
  - 2. impairments in verbal and non-verbal communication
  - 3. restricted repetitive and stereotypical behaviors
- typically no period of normal development
- moderate retardation in 75% of cases IQ 35-50

more variable:

hyperactivity, under- or over-sensitivity to sensory stimuli, impulsivity, aggression, self-injury

• only small % go on to live independently as adults

# Autism spectrum disorders

Autistic disorder Asperger syndrome Pervasive developmental disorder

DSMIV

- will be replaced with single category 'Autism spectrum disorder' in DSMV (2013)
- will recognize numerous phenotypic dimensions which overlap with those found in other conditions and the general population
- currently only negative aspects emphasized
- positive: strong persistent interests, attention to detail, unusual memory, fascination with systems & patterns, ability to concentrate for long periods of time
- Is autism just part of human diversity? Our inability to tolerate those perceived as different? Do we need more respect for cognitive differences?
- "Since when has it meant I have a disorder if I have less than optimal social skills, a lack of spontaneity, don't make eye contact easily but otherwise function very well and am successful in life?"

# **Extreme heterogeneity**

Functioning very low

MR (75%) NO speech (1 in 10) NO interactions ONLY stereotyped behavior dependent on parents, even as adults (4 in 5) no regular job (9 in 10) high IQ articulate social problems restricted interests successful adults

very high

Plus development over time can change where someone falls on this spectrum

## **Commonly used screening tools:**

Childhood Autism Rating Scale (CARS)

- similar to DSMIV

excludes autism with known causes, only idiopathic

Autism Behavioral Checklist (ABC)

 does not exclude other developmental problems includes those suffering from other known disorders

### Known causes of autism spectrum symptoms

1-2% have Fragile X syndrome
1% have tuberous sclerosis
0.5% have Rett syndrome
other Mendelian conditions possible (eg NF1, Angelman/Prader Willi)

other known chromosomal copy number variants

25% ASD cases have known genetic cause most have no known cause

Prevalence USA DSMIV autistic disorder: 10 in 10,000 (0.1%) autism spectrum disorders: 1 in 100 (1%) 4 : 1 boys:girls

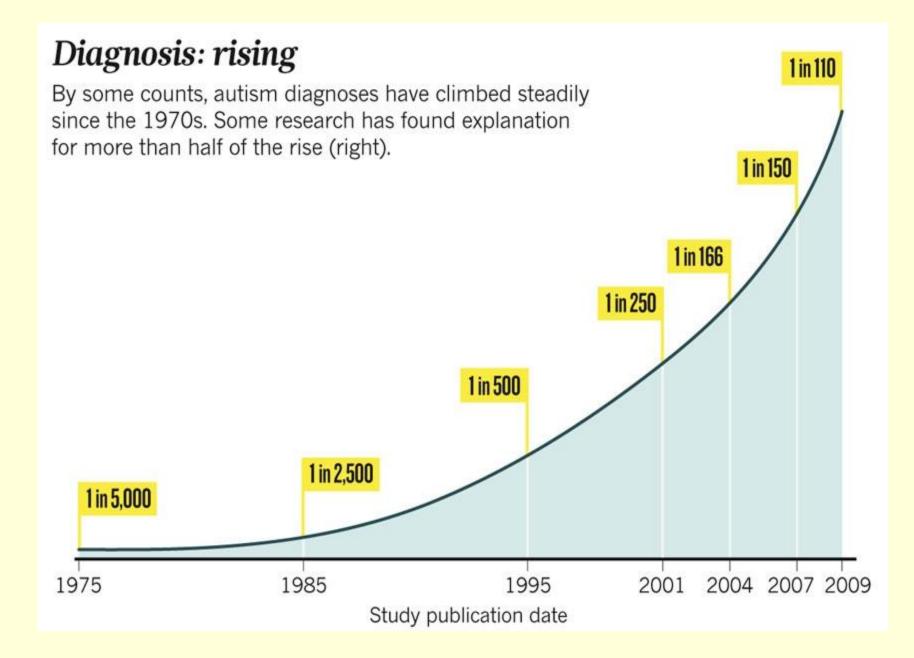
Rising prevalence:

1966UK4-5 per 10,0001992US19 per 10,0002006US90 per 10,000South Korea1 in 38

Autistic disorder

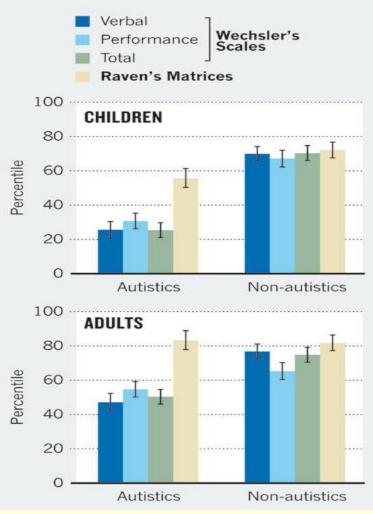
Autism spectrum disorder

- greater awareness
- wider diagnostic criteria
- more frequent diagnosis of children with MR as having ASD
- diagnosis at younger ages
- dispute over whether there are new environmental causes
- has there even actually been an increase?
   Sweden prevalence has been 1% since 1970's
   Recent population survey of UK <u>adults</u> prevalence 9.8 per 1000



### AUTISTIC INTELLIGENCE

Non-autistics typically perform equally well in tests of verbal and non-verbal intelligence. Autistics, however, score much higher in non-verbal tests, such as Raven's Matrices, than in verbal ones, such as Wechsler's Scales.



# **Twin and family studies**

- "It's not genetic because: no reported cases of autistic children having autistic parents, risk to siblings only 5%" (100xprevalence at the time)
- data from studies is consistent, indicate strong genetic component
- 80-90% ASD cases are familial

| Concordances        | (Goldsmith, 2009)  |                          |  |  |
|---------------------|--------------------|--------------------------|--|--|
| <u>Relationship</u> | Accent Cognitive/s |                          |  |  |
|                     | studies            | deficit .                |  |  |
| MZ twins            | 69-79%             | 90%                      |  |  |
| DZ twins            | 19-42%             | 53%                      |  |  |
| unrelated           | 0.5%               | 0.3%                     |  |  |
|                     | tetrachoric        | tetrachoric correlations |  |  |
| MZ twins            | 0.91               | 0.99                     |  |  |
| DZ/sibs             | 0.44               | 0.55                     |  |  |
|                     |                    |                          |  |  |

total population screening, systematic standardized methods of diagnosis, screening out of other conditions - ONLY idiopathic autism

 diagnosis of ASD in one twin produced increased risk for ADHD and learning disabilities in co-twin - does broadly-defined autism exist as a discrete disorder?

# One of the most recent studies

# Lichtenstein et al (2010) Am J Psychiatry

large sample size 7982 twin pairs population sample (all 9-12 yr old twins, Sweden, 80% cooperation) diagnosed by parent report in structured interview (high specificity, ~95%)

> h<sup>2</sup> = 80% autism spectrum 0.9% prevalence 1.3 boys : 0.4 girls

# **Comorbidities**

(Scerff et al, 2011 Ronald et al, 2011 Lichtenstein et al, 2010)

- ADHD h<sup>2</sup> ~ 80% ~2% prevalence (2.5 boys, 1.0 girls) 44% MZ comorbidity with ASD 15% DZ
- 75% with ASD also have ADHD symptoms
- genetic correlation : 0.5 0.87 (up to 75% shared variance, goes up w.age)
- phenotypic correlation: 0.48
  - autistic & ADHD trait scores  $(h^2 = .77 \text{ ASD}, .84 \text{ ADHD})$
  - IQ regressed out so not driving this
- **Developmental coordination disorder**  $h^2 = 70\%$
- genetic correlation .71 (50% shared variance)
- Learning disorders 34% comorbidity
- Other psychiatric disorder : 70% comorbidity with ASD
   2 or more extra disorders: >40% comorbidity

Molecular Psychiatry (2013) 18, 236–244 o 2013 Macmilian Publishers Limited All rights eserved 13594184/13 www.nature.com/mo

#### wina a compro-

#### ORIGINAL ARTICLE

#### Disorder-specific functional abnormalities during sustained attention in youth with Attention Deficit Hyperactivity Disorder (ADHD) and with Autism

A Christakou<sup>1,2,5</sup>, CM Murphy<sup>1,3,5</sup>, K Chantiluke<sup>1</sup>, Al Cubillo<sup>1</sup>, AB Smith<sup>1</sup>, V Giampietro<sup>4</sup>, E Daly<sup>3</sup>, C Ecker<sup>3</sup>, D Robertson<sup>3</sup>, MRC AIMS consortium<sup>6</sup>, DG Murphy<sup>3</sup> and K Rubia<sup>1</sup>

<sup>1</sup>Department of Child and Adolescent Psychiatry, Institute of Psychiatry, King's College London, London, UK; <sup>2</sup>Centre for Integrative Neuroscience and Neurodynamics and School of Psychology and Clinical Language Sciences, University of Reading, London, UK; <sup>3</sup>Department of Forensic and Developmental Sciences, Institute of Psychiatry, King's College London, London, UK and <sup>4</sup>Department of Neuroimaging, Institute of Psychiatry, King's College London, UK

Attention Deficit Hyperactivity Disorder (ADHD) and Autism Spectrum Disorder (ASD) are often comorbid and share behavioural-cognitive abnormalities in sustained attention. A key question is whether this shared cognitive phenotype is based on common or different underlying pathophysiologies. To elucidate this question, we compared 20 boys with ADHD to 20 age and IQ matched ASD and 20 healthy boys using functional magnetic resonance imaging (fMRI) during a parametrically modulated vigilance task with a progressively increasing load of sustained attention. ADHD and ASD boys had significantly reduced activation relative to controls in bilateral striato-thalamic regions, left dorsolateral prefrontal cortex (DLPFC) and superior parietal cortex. Both groups also displayed significantly increased precuneus activation relative to controls. Precuneus was negatively correlated with the DLPFC activation, and progressively more deactivated with increasing attention load in controls, but not patients, suggesting problems with deactivation of a task-related default mode network in both disorders. However, left DLPFC underactivation was significantly more pronounced in ADHD relative to ASD boys, which furthermore was associated with sustained performance measures that were only impaired in ADHD patients. ASD boys, on the other hand, had disorder-specific enhanced cerebeliar activation relative to both ADHD and control boys, presumably reflecting compensation. The findings show that ADHD and ASD boys have both shared and disorderspecific abnormalities in brain function during sustained attention. Shared deficits were in fronto-striato-parietal activation and default mode suppression. Differences were a more severe DLPFC dysfunction in ADHD and a disorder-specific fronto-striato-cerebellar dysregulation in ASD.

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Keywords: ADHD; ASD; attention; dorsolateral prefrontal cortex; fMRI

#### Introduction

Autism spectrum disorder (ASD) is characterised by abnormalities in social interaction, communication and stereotyped/repetitive behaviours (DSM-IV-TR; ICD-10).<sup>1,2</sup> About 30% of ASD patients have comorbid Attention Deficit Hyperactivity Disorder (ADHD).<sup>2,4</sup> characterised by age-inappropriate inattention, impulsiveness and hyperactivity (DSM IV).<sup>4</sup>

 shared behavioural/cognitive phenotype is inattention.<sup>4,5</sup> Sustained attention deficits are among the most consistent cognitive deficits in ADHD<sup>6,7</sup> In ASD, there is evidence for similar impairment,<sup>6-11</sup> albeit with also negative findings.<sup>12</sup>

Sustained attention/vigilance is defined here as the ability to voluntarily maintain the focus of attention to infrequently occurring critical events,<sup>13,14</sup> as opposed to the definition of a decrement of vigilance/

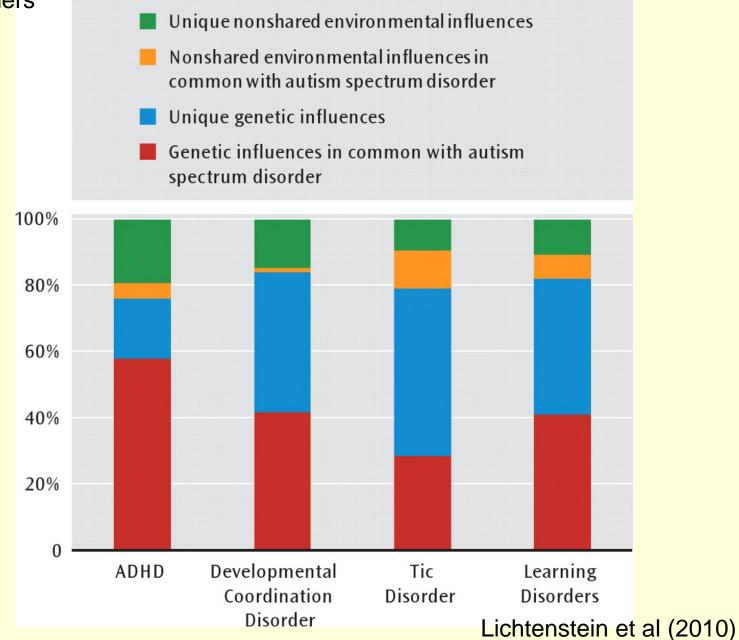
Correspondence: Professor K Rubia, Department of Child Psychiatry/MRC Center for Social, Genetic and Developmental Psychiatry (SGDP), PO46, Institute of Psychiatry, 16 De Creepigny Park, London SE5 8AF, UK E-mail: kutya.rubia@kclac.uk

<sup>&</sup>quot;These authors contributed equally to first authorship.

<sup>&</sup>quot;MRC AIMS Consortium is a collaboration of aut<sup>ie</sup>m research centres in the UK including the Institute of Psychiatry, London, The Autism Research Centre, University of Cambridge, and the Autism Research Group, University of Oxford. It is funded by the MRC UK and baseded by the Department of Forensic and Developmental Sciences, Institute of Psychiatry. The Consortium members are in alphabetical order: Bailey AJ, Barcon-Cohen S, Bolton PF, Bullmore ET, Carrington S, Chakrabarti B, Daly EM, Deoni SC, Ecker C, Happe F, Henty J, Jezzard P, Johnston P, Jones DK, Lombardo M, Madden A, Mullins D, Murphy CM, Murphy DG, Pasco G, Sadek S, Spain D, Steward R, Suckling J, Wheelwright S, Williams SC.

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Amount of variance in liability in ASD in common with other neuropsychiatric disorders



# Conclusions

- caused by disruption of brain development
- autism is among the most heritable of psychiatric disorders
- heritability ~80%
- no evidence for shared environment
- very small non-shared environment component % phenocopies estimated to be very low (eg. maternal alcohol abuse, rubella in utero)
- complex, quantitative inheritance –many genes, interactions exome-wide sequencing confirms NO major loci for autism risk
- any environmental factors likely work by interacting with susceptible genotypes
- as with adult psychopathologies, underlying genetic liabilities do not map well onto current DSMIV categories

Kendler(2010) .. ' our genes seem not to have read the DSMIV nor do they particularly respect the diagnostic boundaries it established'

#### Letter to the Editor

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# Autism recurrence in half siblings: strong support for genetic mechanisms of transmission in ASD

J N Constantino<sup>1,2</sup>, A Todorov<sup>1</sup>, C Hilton<sup>3</sup>, P Law<sup>4</sup>, Y Zhang<sup>1</sup>, E Molloy<sup>1</sup>, R Fitzgerald<sup>1</sup> and D Geschwind<sup>5,6</sup>

<sup>1</sup>Department of Psychiatry, Washington University School of Medicine, St Louis, MO, USA
 <sup>2</sup>Department of Pediatrics, Washington University School of Medicine, St Louis, MO, USA
 <sup>3</sup>Program in Occupational Therapy, Washington University School of Medicine, St Louis, MO, USA
 <sup>4</sup>Kennedy Krieger Institute, Johns Hopkins University, Baltimore, MD, USA
 <sup>5</sup>Department of Neurology, University of California, Los Angeles, CA, USA
 <sup>6</sup>Department of Psychiatry and Human Genetics, University of California, Los Angeles, CA, USA

Correspondence: JN Constantino, E-mail: constantino@wustl.edu

Current estimates of the heritability of autism spectrum disorders (ASD) derived from existing clinical twin studies<sup>1, 2, 3, 4</sup> are potentially confounded by a number of factors, including low sample size, inconsistency in case definition and the role of *de novo* mutation (currently

estimated to contribute to some 20% of cases), and the possibility that heritable causes of ASD

in a twin could result in environmentally engendered affectation of a non-identical co-twin *in utero*, especially if mediated by humoral or immune mechanisms. To minimize these confounds, we compared autism recurrence in half siblings versus that in full siblings, using data (6 June 2011) from over five thousand families enrolled in the Interactive Autism Network (IAN), a national volunteer register for ASD, detailed characteristics of which have been previously described by our group.<sup>5, 6</sup> Recurrence rate among full siblings was observed to be approximately twice that among half siblings, providing strong evidence of genetic transmission of ASD.

The data included 5237 families with (a) an ASD-affected child and (b) at least one additional sibling. Among these, 619 included at least one maternal half-sibling, 55 included a paternal half-sibling and 4832 contained at least one full sibling of an ASD proband. A maximum of one full sibling and one maternal half sibling per family (selected at random in families in which more were available) were incorporated into the analysis. Sample characteristics are provided in Supplementary Table 1.

The recurrence rate was 0.052 for maternal half siblings (0.081 for males, 0.020 for females), 0.00 for the small number of paternal half siblings and 0.095 for full siblings (0.141 for males, 0.050 for females). There was no difference in the full sibling recurrence rate in families with additional half siblings (0.100) compared with those without. The full sib recurrence rate is in agreement with numerous prior studies of sibling recurrence for categorical ASD-affectation status among school-aged children using modern categorical case definitions.<sup>5</sup> In a logistic regression model adjusting for sibling type (full versus maternal half sibling), gender and difference in age between proband and sib, gender (P<0.0001) and sibling type (P=0.004) were highly statistically significant, with a calculated point estimate (for risk incurred by half sibling status) of 0.56, and a 95% confidence interval of 0.38–0.83.

Structural equation modeling generated robust heritability estimates of 50-70% over a broad range of assumptions for population prevalence (<u>Figure 1</u> and Supplementary Table 2). For this analysis, we implemented the commonly used bivariate probit model, with the correlation in

# **Biological basis**

- dysregulation of transcription and splicing of genes in brain
- altered cortical patterning, disrupted synaptic, neuronal signaling
- inflammation implicated secondary to gene mutation effects?

EEG measures from infancy 80% accurate in predicting ASD? eye-tracking measures of social preference 100% accurate from 14 months? but both only tested from at-risk populations so far

# **Treatments**

NO effective drug treatments yet mGluR5 antagonists being tested mice – BDNF oral supplements being tested only work if synaptic function effected

Behavioral interventions – small improvements BTBR mice - model no changes on cross-fostering so no post-natal maternal effects rearing closely with social mice improves sociability

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#### Commentary

#### Can Understanding Social Preferences in Rodents Lead to Novel Pharmacotherapies for Social Anxiety and Avoidance in Psychiatric Disorders?

#### Larry J Young\*.1

<sup>1</sup>Center for Translational Social Neuroscience, Department of Psychiatry and Behavioral Neuroscience, Yerkes National Primate Research Center, Emory University, Atlanta, GA, USA

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Social creatures, including us, are naturally drawn to social stimuli. We are more attracted to biological motion, faces, and other social cues than we are to trees, rocks, or other inanimate objects. Social interactions are critical for our well-being. However, individuals with autism spectrum disorder or schizophrenia display diminished interest in social stimuli and may be socially withdrawn. In addition, social phobias and social anxiety can have devastating impacts on the development of healthy social relationships. A study published in this issue by Lukas et al (2011) from Inga Neumann's group in Regensburg, Germany, used rats and mice to explore the role of the neuropeptide, oxytocin, on the preference for social stimuli and a form of social anxiety induced by social defeat. These preclinical studies in animals have important implications for developing novel pharmacotherapies for psychiatric disorders with muted social interest and elevated social withdrawal.

Oxytocin has had a prominent spotlight in biology for over a century (Burbach et al, 2006), and is now experiencing a renaissance in neuropsychopharmacology. In 1906, Sir Henry Dale found that the constituents in the pituitary potenty stimulate uterine contractions, an activity that he dubbed as 'oxytocin,' from the Greek meaning 'quick birth'. Oxytocin also stimulates milk ejection during nursing, making it the quintessential maternal hormone. Oxytocin was the very first peptide to have its structure defined and synthesized, leading to a Nobel Prize in Chemistry for Vincent du Vigneaud in 1955.

The first evidence that oxytocin influences behavior came in the 1980s, when Cort Pedersen and colleagues reported that oxytocin induces the onset of maternal behavior in virgin rats (Pedersen and Prange, 1979), and Kendrick *et al* (1997) showed that oxytocin stimulates the mother-infant bond in sheep. A series of papers published in the 1990s using monogamous prairie voles as subjects showed that oxytocin also stimulates pair bonding (reviewed in Ross and Young, 2009). More recent studies in rats and mutant mice now suggest that oxytocin is more than just a maternal, or a bonding hormone, but it also enhances various aspects of social cognition and promotes social affiliation (Ross and Young, 2009). In these early years of oxytocin research few, if any, investigators were aware of the translational implications of their work.

However, today there are many studies, inspired by the basic biology elucidated in animal models, showing that intranasally delivered oxytocin enhances trust, empathy, and attention to social cues and various other aspects of social cognition (Bos et al, 2011). In fact, there is a remarkable congruence between the effects of oxytocin on social behavior in animals and social cognition in man. This congruence provides some level of confidence that preclinical studies in rodents can inform pharmacotherapies for social disorders in humans.

Lukas et al (2011) used rats and mice to demonstrate for the first time that endogenous oxytocin is involved in the preference for social stimuli over non-social stimuli. As expected, control rats and mice spent more time exploring a novel stimulus animal in a wire cage than in an empty wire cage. However, infusion of a selective oxytocin receptor antagonist prevents this preference. In a sense, they became socially aloof. Similar results were found if the rats were tested in their home cage and the stimulus rat was a freely moving juvenile.

The authors further showed that oxytocin infusion could overcome the social anxiety induced by social defeat, a rodent version of bullying. Male rats that have been defeated by another male continue to show a social preference as long as the stimulus animal is not the bully. But when presented with the bully male in a wire cage, the experimental male spends no more time exploring the wire cage with the bully than exploring an empty cage, presumably reflecting an experimentally induced social anxiety and social avoidance. However, an infusion of OT

<sup>\*</sup>Correspondence: Dr. LJ. Young, Center for Transitional Social Neuroscience, Department of Psychiatry and Behavioral Neuroscience, Yerkes National Primate Research Center, Emory University, 954 Gatewood Road, Atlanta, GA. 30322, USA, Tel: +1.404.727.8272, Fax: +1.404.727.8070, E-mail: youn3@emory.edu Received 10 June 2011; accepted 12 June 2011

# CNTNAP2 homozygous mouse knock-out, autism model

- contactin-associated protein-like 2
- recapitulates 3 core symptoms?

emit fewer ultrasonic vocalizations when separated from mother spend less time interacting w. unfamiliar mice do not show usual preference for another mouse over inanimate object abnormal social, communication behaviors

repetitive behavior – more time self-grooming & digging stereotyped behavior - use more rigid behavioral strategies in water- & T-maze tests hyperactive, develop stress-induced seizures – mirrors CNTNAP2 mutation syndrome in humans

gene product expressed in migratory zones of developing cortex in embryo – role in neuron development & migration

- knock-out mice show abnormal distribution of neurons in cortex, fewer GABAergic neurons, asynchronous firing
- Risperidone normalizes hyperactivity, stereotyped behaviors, but has NO effect on social, communication behaviors as in treated humans

Nature Reviews Neuroscience(2011), 12 Cell(2011), 147

#### RESEARCH HIGHLIGHTS

# neurodevelopmental disorders Mice that mirror autism



#### "

the finding that risperidone affects repetitive but not social behaviour suggests that new therapeutics for autism may involve different drugs for different symptoms. Understanding the pathophysiology of autism, and ultimately the development of treatments for impairments associated with the condition, is greatly dependent on reliable animal models. As described in a paper published in Cell, mice lacking contactinassociated protein-like 2 (Contrap2) recapitulate the three core symptoms of autism, two of which are normalized by treatment with the antipsychotic drug risperidone.

Autism is currently described as a syndrome characterized by a triad of symptoms: impaired communication, impaired social interaction, and repetitive behaviours and restricted interests. Several common and rare mutations have been associated with the disorder, including variants of the gene encoding CNTNAP2. Peñagarikano et al. set out to investigate how alterations in this protein might contribute to the pathophysiology of autism, in mice lacking *Ontroop* 2 (*Ontroop* 2<sup>-1</sup> mice).

The authors showed that juvenile Contrap 24- mice emitted fewer ultrasonic vocalizations in response to maternal separation and spent less time interacting with unfamiliar mice then did wild-type mice. As adults, Gatwap2" mice did not show the usual preference for another mouse over an inanimate object. These findings point to abnormal social behaviour and communication. The knockout mice also showed evidence of repetitive behaviour: they spent more time grooming and digging than wild-type littermates, and used more rigid behavioural strategies in a water maze test and in a T-maze test. In addition, Contrap 21 mice were hyperactive and developed stress-induced seizures after 6 months of age — an interesting finding considering that a human mutation in CNTNAP2 is associated with a syndrome that includes both epilepsy and autism.

How might an absence of CNTNAP2 contribute to this phenotype? In the embryonic brain of wild-type mice, Cotnap2 was preferentially expressed in migratory and post-migratory zones of the developing cortex and in regions that contain migrating interneurons, suggesting that CNTNAP2 may have a role in neuron development and migration. Indeed, 5-bromode oxvuridine (BrdU) staining and immunohistochemistry experiments revealed an abnormal distribution of neurons in deep cortical layers in the brains of 1-week-old and adult Cratrap2-" mice, and ectopic neurons in the corpus callosum from postnatal day 14 onwards — findings that are all indicative of deficits in the migration of cortical projection neurons in Cotrap2<sup>-/-</sup> animals.

A gene co-expression network analysis revealed that *Critinap2*<sup>-/-</sup> is part of a module of functionally related genes, and these genes are more highly expressed in GABAergic than in glutamatergic neurons. The authors therefore also assessed how the absence of *Ontriap2* affected GABAergic interneurons and found that *Ontriap2^+* mice have fewer interneurons — particularly parvalbumin-positive ones — in cortex, striatum and hippocampus.

Assessing the functional consequence of these alterations using two-photon calcium imaging, the authors showed that cortical neurons of *Cutuap*2<sup>-/-</sup> mice had a highly asynchronous firing pattern, unlike neurons from wild-type mice. As the average firing rate and amplitude did not differ between the two groups, the abacernal firing pattern was probably due to altered network properties.

Finally, the authors investigated whether the drug risperidone, which is used to alleviate hyperactivity, repetitive behaviour and self-injurious behaviour in people with autism, could reverse the behavioural abnormalities in *Crtwag2*<sup>-/-</sup> mice. The drug normalized the hyperactivity, excessive grooming and rigid behaviour in the T-maze test but had no effect on social interactions, resembling its effect in humans.

The results from this study are important in several respects. They inform us about possible roles of CNTNAP2 in neuronal development, suggest that neural asynchronization may be important in autism symptoms and indicate that *Corresp* 2<sup>-+</sup> mice may be used as a model for autism. In addition, the finding that risperidone affects repetitive but not social behaviour suggests that new thempeutics for autism may involve different drugs for different symptoms.

Leonie Welberg

OBIGINAL RESEARCH NAMER Palagarkano, O. et al. Absence of CNITNAP2 leads to epipepy, neuronalnightion absormables, and core autom-related deficit. Cell 347, 235-246 (2014) FURTHER READING Sheeman, J. L., Yang, M., Lund, C. D. Crawky, J. N. Bahviorani phenotyping aussyster mouse models of autom. Nature Rev. Neuronci. 11, 499-502 (2010) www.neuropsychopharmacology.org

#### The Neuropeptide Oxytocin Facilitates Pro-Social Behavior and Prevents Social Avoidance in Rats and Mice

#### Michael Lukas<sup>1</sup>, Iulia Toth<sup>1</sup>, Stefan O Reber<sup>1</sup>, David A Slattery<sup>1</sup>, Alexa H Veenema<sup>1,2</sup> and Inga D Neumann<sup>\*-1</sup>

<sup>1</sup>Department of Behavioral and Molecular Neurobiology, University of Regensburg, Regensburg, Germany

Social avoidance and social phobia are core symptoms of various psychopathologies but their underlying etiology remains poorly understood. Therefore, this study aims to reveal pro-social effects of the neuropeptide oxytocin (OT), under both basal and stressinduced social avoidance conditions in rodents using a social preference paradigm. We initially show that intracerebroventricular (i.c.v.) application of an OT receptor antagonist (OTR-A) in naïve male rats (0.75 µg/5 µ), or mice (20 µg/2 µ), reduced social exploration of a novel con-specific indicative of attenuated social preference. Previous exposure of male rats to a single social defeat resulted in loss of their social preference east. Although the arroyabla has been implicated in both social and OT-mediated actions, biateral OTR-A (0.1 µg/1 µ) or OT (0.01 µg/1 µ) administration into various subnuclei of the arroyabla did not affect basal or stress-induced social preference behavior, respectively. Finally, we demonstrate the social specificity of these OT-mediated effects by showing that neither an arginine vasopressin V1a receptor antagonist (0.75 µg/5 µ), i.c.v) nor the anxiogenic drug pertylemeterazol (15 mg/kg, i.p.) altered social preference, with OTR-A not affecting non-social anxiety on the elevated plus-maze. Overall, the data indicate that the basal activity of the endogenous brain OT system is afficient to promote natural occurring social preference in rodents whis synthetic OT shows potential to reverse stress-induced social avoidance and might thus be of use for treating social pholia and social dysfunction in humans. Neuropschopharmacology (2011) **36**, 2159–2168; doi:10.1038/npp.2011.95; published onine 15 June 2011

Keywords: social preference; social interaction; social defeat; amygdala; vasopressin; anxiety

#### INTRODUCTION

Animal and human studies indicate a facilitatory role of the neuropeptide oxytocin (OT) in a broad variety of social interactions. Released within the brain (for reviews, see Landgraf and Neumann, 2004; Neumann, 2009), OT promotes various aspects of social behavior in both females and males related to reproduction, including the onset and fine-tuned maintenance of maternal behavior in lactation (Bosch et al, 2005; Kendrick, 2000; McCarthy, 1990; Pedersen et al, 1982; van Leengoed et al, 1987), receptive behavior of female rats (Schulze and Gorzalka, 1991; Witt and Insel, 1991), various aspects of sexual behavior in males (Argiolas and Melis, 2004), as well as pair bonding in female voles (Cho et al, 1999; Williams et al, 1994). Furthermore, chronic central OT administration increases social interactions of male with female rats (Witt et al, 1992). Also, OT has a role in social recognition in both male (Popik and van Ree, 1991) and female (Engelmann et al, 1998) rats, which has been confirmed in OT and OT receptor knockout mice,

which display impaired social memory (Ferguson et al, 2000; Choleris et al, 2003; Takayanagi et al, 2005).

Intranasal OT administration has been shown to affect many aspects of human sociability ranging from social perception, increased gazing toward the eye region (Gamer et al, 2010; Guastella et al, 2008), and improved recognition of emotional facial expressions (Domes et al, 2007; Savaskan et al, 2008) to complex social behaviors like trust, social-risk taking, and empathy (Baumgartner et al, 2008; Hurlemann et al, 2010; Kosfeld et al, 2005). The amygdala, a brain region strongly involved in social perception and emotional processing, has been implicated as one of the key regions mediating neuronal actions of OT on social behaviors in humans (Baumgartner et al, 2008; Gamer et al, 2010; Hurlemann et al, 2010; Kirsch et al, 2005) as well as in rodents (Choleris et al, 2007; Ferguson et al, 2001; Lee et al, 2007).

In addition to these multiple effects on sociability, brain OT functions as an endogenous anxiolytic neuropeptide in females (Neumann et al, 2000b) and males (Waldherr and Neumann, 2007), and acute or chronic administration of synthetic OT reduces anxiety-related behavior in rodents (Blume et al, 2008; Ring et al, 2006; Slattery and Neumann, 2010; Windle et al, 1997). The anxiolytic effect of OT could be localized within both the amygdala of females (Bale et al, 2001; Neumann, 2002) and the hypothalamic paraventricular nucleus (PVN) of males (Blume et al, 2008). On the

<sup>\*</sup>Correspondence: Dr. ID. Neumann, Universitaetsstrasse 31, 93053 Regensburg, Germany, Tet + 49, 941, 943, 3053, Fac. + 49, 941, 943 3052, E-mait inganeumann@biologie.uni-regensburg.de

<sup>&</sup>lt;sup>2</sup>Current address: Department of Psychology, Boston College, 140 Commonwealth Avenue, McGuinn 522, Chestnut Hill, MA 02467, USA.

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# **Specific genetic influences**

- evidence for rare variation and common variants
- ~5 10% cases have one of large number of rare but fairly large copy number variants (CNVs)
- increased structural variation burden seen
- ASD is co-morbid, low penetrance feature of >100 single-gene Mendelian genetic syndromes (eg fragile X, Rett, tuberous sclerosis)
- highly polygenic (estimated 400-1000 genes)
- several candidate DNA regions from whole genome scans
- currently, 31 SNPs predict ASD in about 1/2 males, 1/4 females

Different genetic influences may exist for the 3 types of autism symptoms (social, communications, restricted interests) evidence from cognitive and brain data [Happe, Ronald, Plomin, 2006] genome wide association study [Ronald et al, 2011] mouse models Recent exon sequencing study (Neale et al, 2012, Nature)

- confirmed 3 genes previously associated in other studies as being very likely involved in risk for ASD
- CHD8 chromodomain helicase DNA binding protein 8
   transcription repressor, binds β catenin, regulates Wnt signalling, vital role in early development

KATNAL2 hydrolase involved in microtubule organization

SCN2A sodium channel subunit, expressed in brain, previously associated with seizure disorders

Predicting diagnosis of ASD using gene pathway analysis (Skafidas et al Mol Psy, 2012) about 72% correct in prediction in control population test

#### Table 2. List of 15 most contributory (Table 2a) and 15 most protective (Table 2b) SNPs for ASD diagnosis in the CEU Cohort

| <b>SNP</b> (a) Risk SNPs a rs968122 rs876619 rs11020772 rs9288685 | Weight<br>lower (0.95)<br>and their weightin<br>1.5465<br>0.9476 | <b>Weight</b><br>ngs<br>1.5555<br>1.2092 | Weight<br>higher (0.95) | delta  | Gene<br>number | Gene<br>symbol |
|---|--|--|-------------------------|--------|----------------|----------------|
| rs968122<br>rs876619<br>rs11020772                                | 1.5465<br>0.9476   | 1.5555                                   | 1 5645                  |        |                |                |
| rs876619<br>rs11020772  | 0.9476   |  | 1 5645                  |        |                |                |
| rs11020772  |  | 1 2092                                   | 1.5045                  | 0.0090 | 27345          | KCNMB4         |
|   | 0.9552   | 1.2052                                   | 1.4708                  | 0.2616 | 2775           | GNAO1          |
| rs9288685   | 0.8553   | 0.8641                                   | 0.8729                  | 0.0088 | 2915           | GRM5           |
|   | 0.5856   | 0.5998                                   | 0.6140                  | 0.0142 | 3635           | INPP5D         |
| rs10193128  | 0.5836   | 0.5946                                   | 0.6056                  | 0.0110 | 3635           | INPP5D         |
| rs7842798   | 0.5298   | 0.5386                                   | 0.5474                  | 0.0088 | 114            | ADCY8          |
| rs3773540   | 0.5125   | 0.5208                                   | 0.5291                  | 0.0083 | 55799          | CACNA2D3       |
| rs1818106   | 0.5002   | 0.5161                                   | 0.5320                  | 0.0159 | 80310          | PDGFD          |
| rs2384061   | 0.4195   | 0.4306                                   | 0.4417                  | 0.0111 | 109            | ADCY3          |
| rs12582971  | 0.3983   | 0.4295                                   | 0.4607                  | 0.0312 | 5288           | PIK3C2G        |
| rs10409541  | 0.4067   | 0.4189                                   | 0.4311                  | 0.0122 | 773            | CACNA1A        |
| rs2300497   | 0.3782   | 0.3889                                   | 0.3996                  | 0.0107 | 801            | CALM1          |
| rs7562445   | 0.3741   | 0.3843                                   | 0.3945                  | 0.0102 | 2066           | ERBB4          |
| rs7313997   | 0.3382   | 0.3567                                   | 0.3752                  | 0.0185 | 5801           | PTPRR          |
| rs2239118   | 0.3348   | 0.3552                                   | 0.3756                  | 0.0204 | 775            | CACNA1C        |
| (b) Protective S  | SNPs and their w   | eightings                                |                         |        |                | 1              |
| rs17629494  | -0.5242  | -0.5070                                  | -0.4898                 | 0.0172 | 5592           | PRKG1          |
| rs4648135   | -0.5807  | -0.5260                                  | -0.4713                 | 0.0547 | 4790           | NFKB1          |
| rs17643974  | -0.5527  | -0.5424                                  | -0.5321                 | 0.0103 | 1488           | CTBP2          |
| rs1243679   | -0.5771  | -0.5674                                  | -0.5577                 | 0.0097 | 341799         | OR6S1          |
| rs2240228   | -0.5942  | -0.5816                                  | -0.5690                 | 0.0126 | 26532          | OR10H3         |
| rs260808  | -0.5938  | -0.5836                                  | -0.5734                 | 0.0102 | 80310          | PDGFD          |
| rs4128941   | -0.6166  | -0.6082                                  | -0.5998                 | 0.0084 | 8313           | AXIN2          |
| rs769052  | -0.6321  | -0.6235                                  | -0.6149                 | 0.0086 | 7322           | UBE2D2         |
| rs984371  | -0.7273  | -0.7181                                  | -0.7089                 | 0.0092 | 219437         | OR5L1          |
| rs4308342   | -1.0196  | -0.8938                                  | -0.7680                 | 0.1258 | 1633           | DCK            |
|   | -0.9400  | -0.9172                                  | -0.8944                 | 0.0228 | 9630           | GNA14          |

#### Table 1. Statistically significant pathways for the CEU and Han Chinese

| KEGG<br>pathway | Pathway name                          | CEU significance (P-<br>values) | HAN significance (P-<br>values) |
|-----------------|---------------------------------------|---------------------------------|---------------------------------|
| hsa04020        | Calcium signaling                     | $5.0 \times 10^{-7}$            | 5.0 × 10 <sup>-7</sup>          |
| hsa04540        | Gap junction                          | $5.0 \times 10^{-7}$            | 5.0 × 10 <sup>-7</sup>          |
| hsa04730        | Long-term depression                  | $5.0 \times 10^{-7}$            | $5.0 \times 10^{-7}$            |
| hsa04070        | Phosphotidylinositol signaling        | 1.5 × 10 <sup>-6</sup>          | 5.0 × 10 <sup>-7</sup>          |
| hsa04720        | Long-term potentiation                | $2.5 \times 10^{-6}$            | 5.0 × 10 <sup>-7</sup>          |
| hsa00230        | Purine metabolism                     | $1.0 \times 10^{-5}$            | $5.0 \times 10^{-7}$            |
| hsa04010        | mitogen-activated kinase-like protein | 5.0 × 10 <sup>-7</sup>          | _                               |
| hsa04740        | Olfactory transduction                | $5.0 \times 10^{-7}$            | -                               |
| hsa04910        | Insulin signaling pathway             | $1.5 \times 10^{-6}$            | -                               |
| hsa04916        | Melanogenesis                         | $2.0 \times 10^{-6}$            | -                               |
| hsa04310        | Wnt signaling                         | $4.0 \times 10^{-6}$            | -                               |
| hsa04912        | GnRH signaling                        | $4.5 \times 10^{-6}$            | -                               |
| hsa04120        | Ubiquitin-mediated proteolysis        | $7.0 \times 10^{-6}$            | -                               |
| hsa04080        | Neuroactive ligand receptor           | $1.2 \times 10^{-5}$            | 5.0 × 10 <sup>-7</sup>          |
| hsa04062        | Chemokine signaling pathway           | $1.2 \times 10^{-5}$            | $5.0 \times 10^{-7}$            |
| hsa04060        | Cytokine-cytokine receptor            | $1.65 \times 10^{-5}$           | 5.0 × 10 <sup>-7</sup>          |
| hsa04114        | Oocyte meiosis                        | —                               | $5.0 \times 10^{-7}$            |
| hsa04360        | Axon guidance                         | —                               | 5.0 × 10 <sup>-7</sup>          |
| hsa04510        | Focal adhesion                        | —                               | $5.0 \times 10^{-7}$            |
| hsa04514        | Cell adhesion molecules               | -                               | 5.0 × 10 <sup>-7</sup>          |
| hsa04670        | Leukocyte transendothelial migration  | _                               | $5.0 \times 10^{-7}$            |
| hsa04144        | Endocytosis                           | -                               | $2.0 \times 10^{-6}$            |
| hsa04742        | Taste transduction                    | _                               | $2.0 \times 10^{-6}$            |

Next table Figures and tables index

Abbreviations: CEU, of Central (Western and Northern) European origin; HAN, of Han Chinese origin; KEGG, Kyoto Encyclopedia of Genes and Genomes ().

*P*-values in bold are statistically significant. The pathways highlighted in 'bold' denote pathways that have reached statistical significance in both populations.

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Multiplex Targeted Sequencing Identifies Recurrently Mutated Genes in Autism Spectrum Disorders Brian J. O'Roak *et al. Science* 338, 1619 (2012); DOI: 10.1126/science.1227764

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#### ORIGINAL ARTICLE

#### QTL replication and targeted association highlight the nerve growth factor gene for nonverbal communication deficits in autism spectrum disorders

AT-H Lu<sup>1,4</sup>, J Yoon<sup>1,4</sup>, DH Geschwind<sup>1,2,3</sup> and RM Cantor<sup>1,2</sup>

Department of Human Genetics, David Geffen School of Medicine at UCLA, Los Angeles, CA, USA; Department of Psychiatry, David Geffen School of Medicine at UCLA, Los Angeles, CA, USA and <sup>9</sup>Department of Neurobay, David Geffen School of Medicine at UCLA, Los Angeles, CA, USA

> Autism Spectrum Disorder (ASD) has a heterogeneous etiology that is genetically complex. It is defined by deficits in communication and social skills and the presence of restricted and repetitive behaviors. Genetic analyses of heritable quantitative traits that correlate with ASD may reduce heterogeneity. With this in mind, deficits in nonverbal communication (NVC) were quantified based on items from the Autism Diagnostic Interview Revised. Our previous analysis of 228 families from the Autism Genetics Research Exchange (AGRE) repository reported 5 potential quantitative trait loci (QTL). Here we report an NVC QTL replication study in an independent sample of 213 AGRE families. One QTL was replicated (P<0.0004). It was investigated using a targeted-association analysis of 476 haplotype blocks with 708 AGRE families using the Family Based Association Test (FBAT). Blocks in two QTL genes were associated with NVC with a P-value of 0.001. Three associated haplotype blocks were intronic to the Nerve Growth Factor (NGF) gene (P=0.001, 0.001, 0.002), and one was intronic to KCND3 (P=0.001). Individual haplotypes within the associated blocks drove the associations (0.003, 0.0004 and 0.0002) for NGF and 0.0001 for KCND3. Using the same methods, these genes were tested for association with NVC in an independent sample of 1517 families from an Autism Genome Project (AGP). NVC was associated with a haplotype in an adjacent NGF block (P=0.0005) and one 46kb away from the associated block in KCND3 (0.008). These analyses Illustrate the value of QTL and targeted association studies for genetically complex disorders such as ASD. NGF is a promising risk gene for NVC deficits.

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Keywords: autism spectrum disorders; GWAS; nerve growth factor; nonverbal communication; QTL

#### Introduction

Autism spectrum disorders (ASDs) are a heterogeneous group of neurodevelopemental disorders defined by impairments in language and nonverbal communication, deficits in reciprocal social interactions and an excess of restricted and repetitive behaviors. The onset of developmental disabilities occurs before the age of 3 years and persists throughout life.1,2 ASD prevalence estimates have been increasing, and it has recently been reported that approximately 9 children per 1000 are affected, as reported on the website of the Centers for Disease Control. ASD symptoms vary among individuals, and it is likely that this wide range in phenotypes along with inconsistent genetic results derive, at least

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partially, from a substantial amount of genetic heterogeneity.

Prior studies established that ASDs are heritable genetically complex disorders with associated quantitative traits exhibiting familiality. A recent twin study estimates the probandwise concordance rate for strict autism in male monozygotic twin pairs as 0.58, with a 95% confidence interval estimate of 0.42-0.74 and in male dizygotic twin pairs as 0.21, with a 95% confidence interval estimate of 0.09-0.43,3 implicating a substantial heritability and an important role for environmental factors in Autism. Although, a monozygotic prenatal environment can make those pairs more concordant than dizygotic pairs, thereby inflating the heritability estimate, such an effect is unlikely to be large enough to preclude an important role for genes.

Research indicates that siblings and parents of affected children are more likely to show deficits in quantitative measures of social skills and their abilities to communicate,45 providing evidence that some quantitative ASD traits are familial and likely to be heritable. Analyzing a single quantitative heritable ASD phenotype can provide a powerful

Correspondence: Dr RM Cantor, Department of Human Genetics, David Geffen School of Medicine at UCLA, 695 Charles E Young Dr South, Los Angeles, CA 90024-7088, USA. E-mail: rcantor@mednet.ucla.edu

#### Recurrent fusion in pediatric AMKL

James Downing and colleagues report the discovery of recurrent driver mutations in non-Down syndrome acute megakaryoblastic leukemia (non-DS-AMKL), including a CBFA2T3-GLIS2 fusion present in 27% of pediatric cases (Cancer Cell 22, 683-697, 2012). The authors sequenced the transcriptomes of 14 pediatric AMKL cases and found 7 with a balanced inversion on chromosome 16 resulting in an in-frame fusion of CBFA2T3 and GLIS2. Follow-up analyses in a larger set of pediatric and adult AMKL cases showed that this fusion was recurrent, restricted to pediatric cases and associated with unfavorable outcome. The authors also identified a NUP98-KDM5A fusion in 8% of pediatric cases, as well as recurrent mutations in GATA1 and JAK kinase genes. To examine the biological effects of the CBFA2T3-GLIS2 fusion, the authors transduced mouse hematopoletic cells with a retrovirus encoding CBFA2T3-GLIS2 and found that the fusion conferred increased capacity for self-renewal, with evidence for differentiation along the megakaryocytic lineage. They further showed that these effects on self-renewal were likely mediated by upregulation of bone morphogenetic protein (BMP) signaling. These findings provide insights into the biology of AMKL and Identify CBFA2T3-GLIS2 as a new clinical marker with prognostic significance for pediatric patients with AMKL. KV

#### TREM2 and Alzheimer's disease

Two groups have independently identified a rare variant that is associated with susceptibility to late-onset Alzheimer's disease (LOAD), with an effect size similar to that of the e4 risk allele of apolipoprotein E. Researchers in the Alzheimer Genetic Analysis Group (N. Engl. J. Med., published online 14 November 2012; doi:10.1056/NEJMoa1211851) nominated TREM2 as a promising candidate because homozygous lossof-function TREM2 mutations cause Nasu-Hakola disease, a rare recessive, early-onset form of dementia with leukoencephalopathy and bone cysts. They generated exome sequence data sets and identified a TREM2 missense variant, R47H, which associated with LOAD in cohorts from North America and Europe. Separately, researchers at deCODE Genetics (N. Engl. J. Med., published online 14 November 2012; doi:10.1056/ NEJMoa1211103) identified the R47H variant in an imputation-based genome-wide association study using the Icelandic population and replicated the association with LOAD in North American and European cohorts. TREM2 is an immune phagocytic receptor expressed in brain microglia. Assuming that the TREM2 risk variants impair TREM2 function, these studies suggest that reduced function of TREM2 causes reduced phagocytic clearance of amyloid proteins or cellular debris and thus impairs a protective mechanism in the brain. EN

#### Migration from trees to graphs

Joseph Pickrell and Jonathan Pritchard report a new statistical model for demographic inference from genome-wide allele frequency data sets (*PLoS Genet*, 8, e1002967, 2012). Implemented in the freely available software TreeMix (http://treemix.googlecode.com/), their approach involves building a population tree, finding populations that show poor fit to the tree model and modeling migration events to improve the fit within a graph-based model. They tested their method in simulations as well as on a human data set including genome-wide data from 53 modern and

Written by Orli Bahcall, Pamela Feliciano, Emily Niemitz & Kyle Vogan

2 archaic populations. They inferred a maximum-likelihood tree from the human data set that recapitulates known population relationships and explains 98.8% of the variance in relatedness between populations. By sequentially adding the ten migration events that are most consistent with known events, they increased the explained variance to 99.8%. The authors also applied their approach to a canine genome-wide data set of 82 dog breeds or wild canids. They found that a significant amount of gene flow occurred between breeds during dog domestication and agin demonstrate the ability to increase the explained variance in relatedness between dog breeds by sequentially adding migration events. For both humans and dogs, the authors infer many migration events, including previously known and new relationships between populations. OB

#### MED12 in cancer drug resistance

Targeted cancer drugs do not typically lead to long-term survival benefits owing to emergent drug resistance caused by secondary-site mutations in the targeted gene or mutations in genes downstream. René Bernards and colleagues report that suppression of MED12 confers drug resistance to multiple targeted cancer drugs in multiple cancer cell lines (Cell 151, 937-950, 2012). The authors conducted an in vitro RNA interference (RNAi) screen targeting 8,000 genes. They found that MED12 was the only gene whose suppression led to resistance to the ALK inhibitor crizotinib. They then performed an inverse screen with a short hairpin RNA (shRNA) library that covers all 518 human kinases to identify genes whose suppression would restore drug sensitivity. They found that suppression of TGFBR2 resensitized MED12<sup>KD</sup> cells to crizotinib. Further experiments showed that treatment of multiple cancer cell lines with recombinant TGF-B conferred resistance to multiple targeted. cancer drugs, as well as the widely used chemotherapy drug cisplatin. Finally, the authors tested the combination of a TGF-BR2 inhibitor with crizotinib in MED12<sup>KD</sup> cells and observed a synergistic inhibitory effect. The authors suggest that the combination of TGF-BR2 inhibitors with targeted tyrosine kinase inhibitors might be an effective therapy for tumors with elevated TGF-β signaling. PE

#### Autism candidate gene resequencing

Although much effort has been made in sequencing autism exomes. It has been difficult to robustly establish ASD (autism spectrum disorder) candidate genes as bona fide genetic risk factors, as only single mutations are typically observed. Now, Evan Eichier, Jay Shendure and colleagues report ultra-low-cost ASD candidate gene resequencing of 44 genes in 2,446 ASD probands (Science, published online 15 November 2012; doi:10.1126/ science.1227764). This resequencing method uses a modified molecular inversion probe (MIP) strategy, such that reagent costs are less than \$1 per gene per sample. Applying this method to ASD probands from the Simons Simplex Collection (SSC), the authors identified 27 de novo mutations in 16 genes, with 59% of the mutations predicted to be truncating or disruptive of splicing. Six of the genes (CHD8, GRIN2B, DYRK1A, PTEN, TBR1 and TBL1XR1) have statistically significant evidence of mutation burden; five of these are contained within the g-catenin/ chromatin-remodeling network. Altogether, approximately 1% (24/2,573) of ASD probands had a mutation in 1 of these 6 genes. Because the SSC was established for families with simplex ASD and these probands typically possess higher cognitive functioning levels than other ASD cohorts, it is not known how generalizable the mutation burdens of these six genes will be in Idiopathic autism.

# ADHD - attention-deficit hyperactivity disorder

Most predictive characteristics:

- 1. very restless, difficulty staying seated for long
- 2. squirmy, fidgety, impulsive
- 3. poor attention span

DSMIII-R single category DSMIV 3 categories ADHD I primarily inattentive ADHD H/I primarily hyperactive/impulsive ADHD C combined type

Prevalence: 9% at elementary school age 5 : 1 boys : girls





# Adult ADHD

- ~30% of childhood cases persist into adulthood
- symptoms less prominent because adaptive mechanisms develop comorbid conditions modify or dominate clinical manifestations

# **Comorbidities**

- substance abuse
- affective disorders
- personality disorders
- bipolar disorder

Distinctive personality profile

NEO hi neuroticism score, lo extroversion, openness, conscientiousness

TPQ high novelty seeking, harm avoidance

most common

# Family and adoption studies

Recent large US study: ADHD combined type familial tendency: 25% first degree relative risk

5% prevalence

adoption studies: biological parent/offspring resemblance is much greater than adoptive parent/offspring

# Twin studies

 consistent results even with different measurement methods and heterogeniety of phenotype

Concordances pooled findings across 20 twin studies MZ = 51% DZ = 33% Prevalence = 5% heritability ~ 76% no shared e Faraone et al, 2005

Meta-analysis across 21 studies heritability = 70% Burt, 2009

### Using quantitative measure:

same sex, 13 year-old twins, hyperactivity ratings:

|          | Rated by | Mother | Father | Teacher | <u> </u> |
|----------|----------|--------|--------|---------|----------|
| MZ twins |          | 0.68   | 0.48   | 0.62    |          |
| DZ twins |          | -0.08  | 0.21   | 0.26    |          |

mother's ratings show contrast effects (a form of rater bias) Parents - see home-related symptoms

- may not be able to compare their children to many others
- teachers see school-related symptoms
  - are able to compare twins with many other children

School/home - some difference in symptoms, genetic effects, displayed

Conclusions from behavior genetic studies:

- clear genetic influence
- heritability ~70%
- heritability range = 50 90% depending on whether situationspecific, continues into adulthood
- non-additive gene effects
- little evidence for shared environment
- genetic overlap between inattentive & hyperactive symptoms

'Environmental' risk factors identified in some studies: parental alcohol dependence, maternal smoking, maternal drinking during pregnancy, very low birth weight

# **Specific genetic influences**

mouse model knock-out of dopamine transporter (DAT) gene, chr 9 BDNF knock-out

Show increased hyperactivity

humans DAT1, DRD4, DRD5, 5HTT, HTR1B, SNAP25 – strong support (SNAP25 – axon growth, synaptic plasticity)

 8% of cases have familial CNV some involve CHRNA7
 DIRAS2 - product thought to regulate neurogenesis, implicated in ADHD with comorbid disorders OR ranging 1.12 – 1.45

# 'Specific' ?

One rare CNV increases risk for ADHD, autism, sz, bipolar, intellectual disability

DISC1 gene implicated in sz, bipolar, MDD, autism

Some common underlying pathology likely involved in many disorders

Hum Genet (2009) 126:51-90 DOI 10.1007/s00439-009-0694-x

REVIEW ARTICLE

#### Candidate gene studies of ADHD: a meta-analytic review

Ian R. Gizer · Courtney Ficks · Irwin D. Waldman

Received: 20 May 2009 / Accepted: 27 May 2009 / Published online: 9 June 2009 © Springer-Verlag 2009

Abstract Quantitative genetic studies (i.e., twin and adoption studies) suggest that genetic influences contribute substantially to the development of attention deficit hyperactivity disorder (ADHD). Over the past 15 years, considerable efforts have been made to identify genes involved in the etiology of this disorder resulting in a large and often conflicting literature of candidate gene associations for ADHD. The first aim of the present study was to conduct a comprehensive meta-analytic review of this literature to determine which candidate genes show consistent evidence of association with childhood ADHD across studies. The second aim was to test for heterogeneity across studies in the effect sizes for each candidate gene as its presence might suggest moderating variables that could explain inconsistent results. Significant associations were identified for several candidate genes including DAT1, DRD4, DRD5, 5HTT, HTR1B, and SNAP25. Further, significant heterogeneity was observed for the associations between ADHD and DAT1, DRD4, DRD5, DBH, ADRA2A, 5HTT, TPH2, MAOA, and SNAP25, suggesting that future studies should explore potential moderators of these associations (e.g., ADHD subtype diagnoses, gender, exposure to environ-

L R. Gizer (⊠) Department of Genetics, University of North Carolina at Chapel Hill, 120 Mason Farm Road, Room 5015 Genetic Medicine Building CB 7264, Chapel Hill, NC 27599-7264, USA e-mail: igizer@unc.edu

C. Ficks - I. D. Waldman Department of Psychology, Emory University, Atlanta, GA, USA

C. Ficks e-mail: courtney.ficks@emory.edu L.D. Waldman

e-mail: psyiw@emory.edu

mental risk factors). We conclude with a discussion of these findings in relation to emerging themes relevant to future studies of the genetics of ADHD.

#### Introduction

Attention deficit hyperactivity disorder (ADHD) is characterized by persistent and pervasive symptoms of inattention, hyperactivity, and impulsivity (American Psychiatric Association 2000). Approximately 3–7% of children are diagnosed with ADHD, making it one of the most prevalent childhood psychiatric disorders (American Psychiatric Association 2000). Twin and adoption studies of ADHD suggest that genetic influences contribute substantially to its etiology, with heritability estimates ranging from 60 to 90% (Waldman and Rhee 2002). Thus, molecular genetic studies attempting to identify the specific genes involved in the etiology of ADHD are being published at a rapid rate (Faraone and Khan 2006; Waldman and Gizer 2006).

Despite such efforts, the search for susceptibility genes for ADHD, like those for other complex traits (Ioannidis et al. 2001; Lohmueller et al. 2003), has yielded largely inconsistent results (Faraone et al. 2005; Waldman and Gizer 2006). For example, one of the first candidate gene studies of ADHD reported a significant association between the dopamine transporter gene (*DATT*) and ADHD (Cook et al. 1995). This report was followed by studies attempting to replicate the original association that yielded approximately equal numbers of positive and negative results (Curran et al. 2001b; Swanson et al. 2000b; Todd et al. 2001a; Waldman et al. 1998). Notably, this pattern of results is not specific to candidate gene studies of ADHD, as similar findings have been reported for genetic association studies of most if not all complex traits that have been studied (Ioannidis 2003).

# Adolescent conduct disorder (CD)

- general disregard for rights & property of others
- persistent pattern of rule-breaking & aggressive behaviors
  - destruction of property, theft
  - aggressive behavior fighting, bullying
  - disobedience, lying, deceit, running away from home
  - irresponsible, impulsive, self-destructive

Prevalence: 5 -20% boys > girls rate varies across populations studied

CD is one of the most prevalent childhood disorders most common reasons for psychiatric referral strongest predictors of adult psychopathology alcohol & drug dependence depression anxiety disorders anti-social personality disorder Not a new problem

From 'A Winter's Tale' Shakespeare

"I would there was no age between ten and three and twenty, or that youth would sleep out the rest; for there is nothing in the between but getting wenches with child, wronging the ancientry, stealing, fighting"

# Looking at variance components Older studies in text book

McGuffin (1985) juvenile delinquency Twin concordances: MZ = 87% DZ = 72%

Twin correlations for quantitative measures:

|             | CBCL by mother | Rutter scale by mother . |
|-------------|----------------|--------------------------|
| MZ boys     | 0.47           | 0.73                     |
| girls       | 0.56           | 0.70                     |
| DZ boys     | 0.40           | 0.50                     |
| girls       | 0.38           | 0.55                     |
| opposite se | x 0.49         | 0.32                     |

- modest/low genetic influence, heritability higher in girls on CBCL
- sex differences for Rutter scale ratings, higher heritabilities
- large non-shared and shared environment

# + CBCL Childhood Behavioral Checklist

# More recent studies

example: Slutske et al (1997) J. Abnormal Psych 2682 twin pairs community-based sample DSMIII-R Australian Twin Registry Male prevalence = 20% Female prevalence = 3%

|         |          | n     | Concordance | Tetrachoric r | <u>.</u> |
|---------|----------|-------|-------------|---------------|----------|
| Male    | MZ       | 396   | 0.53        | 0.70          |          |
|         | DZ       | 231   | 0.37        | 0.37          |          |
| Female  | MZ       | 930   | 0.30        | 0.68          |          |
|         | DZ       | 533   | 0.18        | 0.48          |          |
| Opposit | e sex    | 592   | 0.34        |               |          |
| - ma    | ale prob | band  | 0.08        |               |          |
| - fer   | nale pr  | oband | 0.45        |               |          |

- larger genetic influence heritability 40-66%
- shared environment < 30%
- sex differences in prevalence rates but not influences

# **Inconsistency in the literature on CD**

# Inconsistencies identified in study methodology:

- sample ascertainment clinical, court referrals, 'volunteers'
- age distribution pre- and post- adolescent, adult
- method of assessment self- or parent-administered questionnaire, interviews, official records
- heterogeneity aggressive/non-aggressive, early/late onset, with/without hyperactivity, persistent into adulthood and across situations? callous, non-empathetic?

Explaining the results:

Large environmental component - role of family emphasized

- ineffective and/or harsh parenting, poor supervision, lack of discipline, parental conflict, separation, divorce
- all identified as risk factors for CD
- but could these reflect parental psychopathology?

# **Peer influence**

- previously identified as part of environmental influence
- but, more modest effect once prior level of proband's behavior taken into account by using longitudinal studies
- association with similar peers is mostly 'assortative friendship' rather than peer imitation/influence
- gene-environment correlation

# **Comorbidity with ADHD**

CD probands 30-50% also have ADHD ADHD probands 50% show CD/antisocial symptoms

latent trait analysis (latent class analysis)

 type of multivariate factor analysis capable of revealing common underlying influences for CD, ADHD
 here = genetic influence
 environmental influences separate CD from ADHD

DRD4 7-repeat(long) allele associated with ADHD and comorbid CD

# Heritability has been found to be highest for CD that shows:

- early-onset (prior to adolescence, eg age 7)
- aggressive antisocial behavior
- hyperactivity, callousness, lack of empathy
- persistence into adulthood
- persistence across situations

Environmental risks highest for CD that shows:

- nonaggressive behavior
- less hyperactive, callous, unemotional behavior
- adolescent onset
- no persistence into adulthood

# Genes for 'bad behavior'?

 mediation of gene influence is likely to be via personality attributes, cognitive style

tolerance of frustrationimpulse controlneed for stimulationactivity levellevel of empathysocial cognitive skillsExample

Sociologist type of CD 'Delinquent behavior' (Harden et al, 2011)

delinquent behavior peaks at 16 then drops off (like CD)

- corresponds to peak level of personality trait 'sensation-seeking' similar to novelty-seeking, distinct from impulsivity
- impulsivity levels also still high at this age
- Hypothesize sensation seeking drives delinquent behavior in those with high levels of impulsivity (start car, no brakes)
- As levels of sensation seeking and impulsivity drop off towards adulthood, so does tendency towards delinquent behavior – accounts for lower prevalence with increasing age – gene influence changes over time Those with high levels of delinquent behavior in later life show higher h<sup>2</sup> sensation seeking trait variation mostly due to additive genes, no shared e + evidence that same genes drive delinquency

# Born to be wild

Enjoy taking risks? Blame your parents. CU researchers say your appetite for high-risk activities may rest in your DNA.

BY LISA MARSHALL

Y ou know the type. He drives too fast, eschews helmets and seatbelts, delights in things the rest of us find terrifying and views the threat of harm or punishment as a dare, not a danger.

If properly directed, such thrillseeking teens can make for great downhill mountain bikers, or Wall Street day-traders in adulthood. But in some cases, their affinity for risk can lead them into risky sex, drug addiction and other self-destructive behaviors, studies show.

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